

Nasdaq CSD FISN Allocation Guideline

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1 Principles

1. The FISN has a maximum length of 35 alphanumeric characters.
2. The FISN is composed of:
 1. an Issuer Short Name with a maximum length of 15 alphanumeric characters.
Note: in case of collective investment vehicles and derivatives, it can be extended beyond 15 up to the max. length of FISN.
 2. a “/” as the delimiter between the issuer short name and the instrument description; abbreviations forming the FISN must be separated by blanks where required.
 3. an Instrument Description with a maximum length up to 19 alphanumeric characters, assuming that the available length of the issuer short name has been fully used including the delimiter (“/”). If all characters have not been used in the Issuer Short Name, the remaining space may be used to describe the characteristics of the financial instrument.
3. Spaces should be included between acronyms to aid human-readability being the purpose of the FISN. In certain cases, this will add to the restrictive character count so discretion should be used where needed (i.e., MUN BD or MUNBD).
4. If an abbreviation exists for the security type in full this must be used (i.e., where “CVIPU” exists, do not use “CONV IDX PARTN UT”).
5. Characters specified in ISO8859-1 can be used to create a FISN. This includes percentage (%) signs.
6. On the right, there is an example of all possible attributes as being potential components per type of financial instrument. In most cases, not all of them will apply to the same instrument. However, the indicated sequence shall be applied.
7. If the security type description has 2 characters left, and the next component in the sequence is “Rank”, then this component is incorporated within the financial security short name structure to allocate a maximum of 35 characters. If the information relating to “Rank” does not exist, then “Pool number” will be used accordingly.

2 Guideline

2.1 Debt

Issuer	/	Interest Rate or Type	Abr. Instrument Type Description ("Secsub Desc")	Maturity Date
Instrument Description				
Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).				
		<ul style="list-style-type: none"> • Variable (Input "VARI") • Fixed (Input numeric value. Note: use decimal point, i.e. 1.50.) • Zero (Skip to Abr. Instrument Type Description and choose "MMKT".) <p><i>Numeric, alphabetical (=VARI) or none.</i></p>	<ul style="list-style-type: none"> • Bonds = BD • Money market instruments = MMKT • Others = OTHR • Asset-backed securities = ASST BKD • Municipal bonds = MUN BD • Convertible bonds = CONV BD • Medium-term notes = MTN <p><i>If you could not find applicable abbreviation, please refer to ANNA guidelines and abbreviation list.</i></p>	YYYYMMDD
<p>Examples:</p> <p>Variable → SME FINANCE/VARI MMKT 20250102</p> <p>Fixed → SME FINANCE/11 MMKT 20250102</p> <p>Zero → SME FINANCE/MMKT 20250102</p>				

2.2 Equities

- Common/ordinary shares
- Convertible
- Others
- OÜ shares
- Shares, except OÜ shares

Issuer	/	Abr. Instrument Type Description	Class	Par Value
Instrument Description				
Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).				
		SHS	CL-... (optional and skip if not given)	Value or NPV <i>If Par Value = 1, add 1 to FISN</i>
Examples: AKOLA GROUP/SHS CL-B 1 AKOLA GROUP/SHS 1 AKOLA GROUP/SHS NPV				

2.3 Preferred/Preference Shares

Issuer	/	Abr. Instrument Type Description	Class	Par Value
Instrument Description				
Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).				

		<ul style="list-style-type: none"> Preferred Shares = SHS PFD Preference Shares = SHS PEF 	CL-... (optional and skip if not given)	Value or NPV If Par Value = 1, add 1 to FISN
Examples: <ul style="list-style-type: none"> TRIGON ASSET MA/SHS PFD CL-A 4.90 TWINO PROPERTIE/SHS PEF 1 				

2.4 Collective Investment Vehicles

Issuer	/	Abr. Instrument Type Description	Class	Currency
Instrument Description				
Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).				
		<ul style="list-style-type: none"> Units = UT 	CL-... (optional and skip if not given)	ISO alpha 3
Examples: BALTIC INDUSTRI/UT CL-P EUR				

2.5 Referential Instruments

Issuer	/	Abr. Instrument Type Description	Currency
Instrument Description			

Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).			
		Short description	ISO Country Code (3166-2)
Example: SDAD BOLSAS/IBEX 35 ES			

2.6 Listed Options

Issuer	/	Option Type	Expiration or Maturity Date	Warrant Type
Instrument Description				
Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).				
		<ul style="list-style-type: none"> Option = O Future = F 	YYYYMMDD	<ul style="list-style-type: none"> Call = C Put = P
Example: ELCOGEN/O 20240125 C				

5th letter in CFI code i.e., RWST**C**A.

2.7 Entitlements (Rights)

Issuer	/	Abr. Instrument Type Description	Expiration or Maturity Date
Instrument Description			

Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).			
		Rights = RTS	YYYYMMDD
Example: NEO FINANCE/RTS 20280125			

2.8 Entitlements (Warrants)

Issuer	/	Warrant Type	Abr. Instrument Type Description	Expiration or Maturity Date	Warrant Type
Instrument Description					
Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).					
		<ul style="list-style-type: none"> Call = C Put = P 5th letter in CFI code i.e., RWST CA	Warrant= WT	YYYYMMDD	CL... (optional, skip if not given)
Example: NOX HOLDING/C WT 20280125 CL					

2.9 Settlement currency

Issuer	/	Abr. Instrument Type Description	National Currency
Instrument Description			

Note: Issuer up to 15 characters and Instrument Description up to 19 characters (in total max. 35 characters).

		System's = SYS	ISO 4217
Example: SNB/SYS EUR			